290078US0PCT

# MAIL STOP PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Juergen DETERING, et al.

SERIAL NO:

10/579,547

GAU:

FILED:

May 16, 2006

**EXAMINER:** 

FOR:

COPOLYMERS COMPRISING POLYALKYLENE OXIDE GROUPS AND QUATERNARY NITROGEN

JUL 2 5 2006

**ATOMS** 

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Norman F. Oblon

Registration No. 24, 618

3-3000 Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

SHEET 1 OF 1

					10000	- U/				
Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 290078US0PCT		SERIAL NO. 10/579,547					
					ADDITION			10/0/0/04/		
AFFLICANT										
LISTOF	KEFE	(ENCES CITED BY ALI	LICANI	Juergen DETERING, et al.						
				FILING DATE			GROUP			
				May 16, 2006	May 16, 2006 .					
U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME CLASS		CLASS	SUB CLASS			
	AA	6 645 476	11/11/03	MORSCHHAEUSER, Ror	MORSCHHAEUSER, Roman et al.					
	AB									
	AC		<del> </del>							
	AD	<del></del>	<del>                                     </del>	<del></del>		_			-	
	AE		<del> </del>							
	AF		-	<del> </del>		-				
	AG		<del> </del>							
	AH		ļ	ļ						
	ΑI									
	AJ				<u>.</u>					
	AK									
	AL									
	АМ									
	AN									
	<u> </u>	L	LEC	PEIGN PATENT DOCUME		L	L			
FOREIGN PATENT DOCUMENTS										
		DOCUMENT NUMBER	DATE	COUNTRY			TRANSLATION YES N		ATION NO	
	AO	00/39176	07/06/00	WO(equivalent of US 6 361 768)				NO		
	AP	93/22358	11/11/93	WO(with English abstract & equivalent of 6 329 483)		of 6 329			NO	
	AQ	01/05874	01/25/01	wo					NO	
	AR	10062355	06/18/1892	DE					NO	
	AS						.,			
	AT			<del> </del>						
	AU		<del> </del>							
			-							
	AV	L		<u> </u>						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)										
<del></del>	AW									
	<del> </del>				<del></del> :			•		
	AX									
	AY									
	AZ	Additional Referen						rences sh	eet(s) attached	
Examiner						Date Cor	ate Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in										

U.S. PCT Application Serial No: 10/579,547

Filed: May 16, 2006

Juergen DETERING, et al. Docket No. 290078 US



## STATEMENT OF RELEVANCY

- 1) References AA & AO have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AP AR are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.